

| General information about company | |
|--|---|
| Scrip code | 501298 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE620D01011 |
| Name of the company | INDUSTRIAL AND PRUDENTIAL INVESTMENT CO LTD |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-06-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Declaration | | | | | |
|--------------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|---|--------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 8 | 1150065 | | | 1150065 | 65.89 | 1150065 | | 1150065 | 67.01 |
| (B) | Public | 1896 | 595275 | | | 595275 | 34.11 | 566146 | | 566146 | 32.99 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 1904 | 1745340 | | | 1745340 | 100 | 1716211 | | 1716211 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 65.89 | | | | | 1150065 |
| (B) | Public | | | | 34.11 | | | | | 475371 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 1625436 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 5 | 56814 | | | 56814 | 3.26 | 56814 | | 56814 | 3.31 |
| (d) | Any Other (specify) | 3 | 1093251 | | | 1093251 | 62.64 | 1093251 | | 1093251 | 63.7 |
| Sub-Total (A) (1) | | 8 | 1150065 | | | 1150065 | 65.89 | 1150065 | | 1150065 | 67.01 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 8 | 1150065 | | | 1150065 | 65.89 | 1150065 | | 1150065 | 67.01 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (f) | Financial Institutions/ Banks | 1 | 1200 | | | 1200 | 0.07 | 1200 | | 1200 | 0.07 |
| Sub-Total (B) (1) | | 1 | 1200 | | | 1200 | 0.07 | 1200 | | 1200 | 0.07 |
| (2) | Central Government/ State Government(s)/ President of India | 1 | 168 | | | 168 | 0.01 | 168 | | 168 | 0.01 |
| Sub-Total (B) (2) | | 1 | 168 | | | 168 | 0.01 | 168 | | 168 | 0.01 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1734 | 488045 | | | 488045 | 27.96 | 488045 | | 488045 | 28.44 |
| (e) | Any Other (specify) | 160 | 105862 | | | 105862 | 6.07 | 76733 | | 76733 | 4.47 |
| Sub-Total (B) (3) | | 1894 | 593907 | | | 593907 | 34.03 | 564778 | | 564778 | 32.91 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 1896 | 595275 | | | 595275 | 34.11 | 566146 | | 566146 | 32.99 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 1904 | 1745340 | | | 1745340 | 100 | 1716211 | | 1716211 | 100 |
| Total (A+B+C) | | 1904 | 1745340 | | | 1745340 | 100 | 1716211 | | 1716211 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 3.26 | | | | | 56814 |
| (d) | | | | 62.64 | | | | | 1093251 |
| Sub-Total (A) (1) | | | | 65.89 | | | | | 1150065 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 65.89 | | | | | 1150065 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (f) | | | | 0.07 | | | | | 0 |
| Sub-Total (B) (1) | | | | 0.07 | | | | | 0 |
| (2) | | | | 0.01 | | | | | 0 |
| Sub-Total (B) (2) | | | | 0.01 | | | | | 0 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 27.96 | | | | | 399282 |
| (e) | | | | 6.07 | | | | | 76089 |
| Sub-Total (B) (3) | | | | 34.03 | | | | | 475371 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 34.11 | | | | | 475371 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 1625436 |
| Total (A+B+C) | | | | 100 | | | | | 1625436 |
| Disclosure of notes on shareholding pattern | | | | | | | | Textual Information(1) | |

| Text Block | |
|-----------------------|---|
| Textual Information() | 1. 3140 shares transferred in IEPF have been shown under category "Non Institution"- "Any Others". As voting has been frozen as per rules the same has been deducted from voting rights of public. 2. 25989 Shares held in unclaimed securities suspense account are shown under the category "Non Institution"- "Any Others" but from the voting rights they have been omitted as voting is frozen in this case. |

| Individuals/Hindu undivided Family | | | | | | |
|--|---------------|----------------------|---------------------|---------------|--------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
| Name of the Shareholders (I) | Vikram Swarup | Kevic Anant Setalvad | Bindu Vikram Swarup | Gaurav Swarup | Parul Swarup | Click here to go back |
| PAN (II) | AAITS0738F | AAEPS4415K | AJWPS8306C | AKTPS6727K | AMTPS1669L | Total |
| No. of fully paid up equity shares held (IV) | 27348 | 13452 | 9000 | 3600 | 3414 | 56814 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 27348 | 13452 | 9000 | 3600 | 3414 | 56814 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.57 | 0.77 | 0.52 | 0.21 | 0.2 | 3.26 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg:X | 27348 | 13452 | 9000 | 3600 | 3414 | 56814 |
| Class eg:y | | | | | | |
| Total | 27348 | 13452 | 9000 | 3600 | 3414 | 56814 |
| Total as a % of Total Voting rights | 1.59 | 0.78 | 0.52 | 0.21 | 0.2 | 3.31 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 1.57 | 0.77 | 0.52 | 0.21 | 0.2 | 3.26 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 27348 | 13452 | 9000 | 3600 | 3414 | 56814 |

| Reason for not providing PAN | | | | | | |
|------------------------------|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | |
| Shareholder type | | | | | | |

| Any Other (specify) | | | | |
|--|------------------------------|------------------------------|---|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | Paharpur Cooling Towers Ltd. | Paharpur Corporation Limited | Melvin Powel Vanaspati & Engg. Industries Ltd | |
| PAN (II) | AABCP8017C | AABCP8318E | AABCM9918M | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 746901 | 191550 | 154800 | 1093251 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 746901 | 191550 | 154800 | 1093251 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 42.79 | 10.97 | 8.87 | 62.64 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 746901 | 191550 | 154800 | 1093251 |
| Class eg:y | | | | |
| Total | 746901 | 191550 | 154800 | 1093251 |
| Total as a % of Total Voting rights | 43.52 | 11.16 | 9.02 | 63.7 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 42.79 | 10.97 | 8.87 | 62.64 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |

| | | | | |
|---|--------|--------|--------|---------|
| Number of equity shares held in dematerialized form (XIV) | 746901 | 191550 | 154800 | 1093251 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |
| Shareholder type | | | | |

| Any Other (specify) | | | | | | | |
|--|----------|----------|----------|---------------------------|------------------|------------------|---|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | IEPF | Trusts | HUF | Non-Resident Indian (NRI) | Clearing Members | Bodies Corporate | Others |
| Category / More than 1 percentage | Category | Category | Category | Category | Category | Category | Category |
| Name of the Shareholders (I) | | | | | | | Industrial Prudential Unclaimed Securities Suspense Account |
| PAN (II) | | | | | | | |
| No. of the Shareholders (I) | 1 | 2 | 69 | 34 | 7 | 46 | 1 |
| No. of fully paid up equity shares held (IV) | 3140 | 600 | 25808 | 30111 | 155 | 20059 | 25989 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3140 | 600 | 25808 | 30111 | 155 | 20059 | 25989 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.18 | 0.03 | 1.48 | 1.73 | 0.01 | 1.15 | 1.49 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 0 | 600 | 25808 | 30111 | 155 | 20059 | 0 |
| Class eg:y | | | | | | | |
| Total | 0 | 600 | 25808 | 30111 | 155 | 20059 | 0 |
| Total as a % of Total Voting rights | 0 | 0.03 | 1.5 | 1.75 | 0.01 | 1.17 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.18 | 0.03 | 1.48 | 1.73 | 0.01 | 1.15 | 1.49 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 3140 | 600 | 25808 | 27651 | 155 | 18735 | 0 |

| | | | | | | | |
|------------------------------|--|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | |
|--|---------------------------------------|
| Serial No. | |
| Category | |
| Category / More than 1 percentage | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of the Shareholders (I) | 160 |
| No. of fully paid up equity shares held (IV) | 105862 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 105862 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 6.07 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 76733 |
| Class eg:y | |
| Total | 76733 |
| Total as a % of Total Voting rights | 4.46 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 6.07 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of equity shares held in dematerialized form (XIV) | 76089 |

| | |
|------------------------------|--|
| Reason for not providing PAN | |
| Reason for not providing PAN | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence